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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/480,689	01/11/2000	Min-Young Heo	06192.0102	4622

22930 7590 10/02/2003

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EXAMINER

RAO, SHRINIVAS H

ART UNIT	PAPER NUMBER
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2814

DATE MAILED: 10/02/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

AK

Office Action Summary

Application No.

09/480,689

Applicant(s)

HEO ET AL.

Examiner

Steven H. Rao

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 23 August 2002.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 7-9 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☐ Claim(s) 7-9 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____
- 4) ☐ Interview Summary (PTO-413) Paper No(s). _____
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____

DETAILED ACTION

Priority

Receipt is acknowledged of paper submitted under 37 CFR 1.114 and 35 U.S.C. 119(a)-(d), claiming priority from U.S. Serial No. 09/408,689 filed on January 11, 2003 which itself claims priority from Korean Patent Application No. 99-4979 filed on December 12, 1999 which papers have been placed of record in the file.

Continued Prosecution Application

The request filed on 07/11/2003 for a Request for Continued Examination Application (RCE) under 37 CFR 1.5114 based on parent Application No. 09/408, 689 is acceptable and a RCE has been established. An action on the RCE follows.

Preliminary Amendment Status

Acknowledgment is made of entry of preliminary amendment filed 7/ 11/2003 which has been entered on July 19, 2003 .

Therefore claims 10-12 as recited in the preliminary amendment are currently pending in the Application.

Claims 7-9 have been cancelled by the amendment .

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claim 10 is rejected under 35 U.S.C. 102(b) as being anticipated by Bonara et al. (U.S. Patent No. 5,570,990 herein after Bonora).

With respect to claim 10 Bonara describes a method for transporting a cassette comprising steps of: transporting the cassette to a port of a processing system by an automatic transfer device or a manual transfer device; (Bonara Abstract lines 1-8,figure 15, col.11 lines 33-40) sending an automatic mode signal to the processing system if the cassette is transported by the automatic transfer device;(Bonara col. 11 lines 60-65) loading the cassette to the port automatically if the cassette is transported by the automatic transfer device or manually if the cassette is transported by the manual transfer device; (Bonara figure 7, col. 11 lines 33-40) determine if the cassette is loaded on the port; (Bonara figure 7 box 221) determine if the automatic mode signal is received by the processing system; (figure 10, col. 8 lines 30-35) and performing a predetermined process if the cassette is loaded on the port and the automatic mode signal is received by the processing system or a process instructed by an operator if the cassette is loaded on the port but the automatic mode signal is not received by the processing system. (Bonara figure 7 boxes 221, 222 etc).

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 11-12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bonara et al. (U.S. Patent No. 5,570,990 herein after Bonara) as applied to claim 10 above and further in view of Hwang et al. (U.S. Patent No. 6,238,160 herein after Hwang).

With respect to claim 11, Bonara describes the method of claim 10.

Bonara does not specifically mention the step of performing the predetermined process or the process instructed by the operator comprises a step of chucking the cassette automatically if the cassette is loaded on the port and if the automatic mode signal is received by the processing system or chucking the cassette manually if the cassette is loaded on the port but the automatic mode signal is not received by the processing system.

However Hwang in its abstract lines 3-11 describes a chucking of the wafer or the like being electro statically chucked in a processing chamber as part of the wafer, etc. transporting operations to securely hold the wafer, etc. in a fixed position within the chamber.

Therefore it would have been obvious to one of ordinary skill in the art at the time of the invention to include Hwang's chucking step in Bonora's method to securely hold the wafer, etc. in a fixed position within the chamber. (Hwang col. 1 lines 17-18).

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Chuckling the cassette manually if the cassette is loaded on the port but the automatic mode signal is not received by the processing system. (Hwang col. 2 lines 43-59, wherein the switches! controller e.g. 30 can be manually controlled).


With respect to claim 12 Bonara describes a method of claim 11, the step of performing the predetermined process or the process instructed by the operator further comprises steps of: reading an ID of the cassette; and checking positions and a number of substrates in the cassette. (Bonara col. 12 lines 17-22 and 19-22).

Response to Arguments

In view of Applicants' amendment the previous objection to the specification is with drawn.

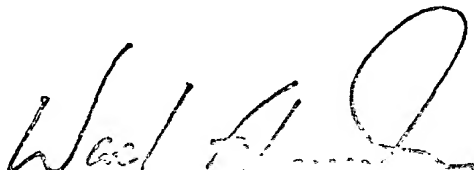
Any inquiry concerning this communication or earlier communication from the examiner should be directed to Steven H. Rao whose telephone number is (703) 306-5584. The examiner can normally be reached on Monday- Friday from approximately 7:00 a.m. to 5:30 p.m.

Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 308-0956. The Group facsimile number is (703) 308-7724.


Steven H. Rao

Patent Examiner

September 25, 2003.


SUPERVISOR
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